



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Art GP 2652  
✓  
[Signature]

Applicant: MIZUUCHI, et al. Examiner: M. Battaglia  
Serial No.: 09/694,625 Group Art Unit: 2652  
Filed: October 23, 2000 Docket: 10873.587US01  
Confirmation No.: 9089 Notice of Allow. n/a  
Date:  
Due Date: n/a  
Title: OPTICAL MULTILAYER DISK, MULTIWAVELENGTH LIGHT SOURCE, AND OPTICAL SYSTEM USING THEM

CERTIFICATE UNDER 37 CFR 1.8:

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail, with sufficient postage, in an envelope addressed to: Mail Stop Amendment, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on December 9, 2004.

By: [Signature]

Name: Peggy Kirkhove

Mail Stop Amendment  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**23552**

PATENT TRADEMARK OFFICE

Sir:

We are transmitting herewith the attached:

- ☒ Transmittal Sheet in duplicate containing Certificate of Mailing
- ☒ Supplemental Information Disclosure Statement, Form 1449, 2 Reference(s), Copy of European Search Report
- ☒ Check(s) in the amount of \$180 for payment of the IDS filing fee
- ☒ Return postcard

Please consider this a PETITION FOR EXTENSION OF TIME for a sufficient number of months to enter these papers or any future reply, if appropriate. Please charge any additional fees or credit overpayment to Deposit Account No. 13-2725. A duplicate of this sheet is enclosed.

MERCHANT & GOULD P.C.  
P.O. Box 2903, Minneapolis, MN 55402-0903  
612.332.5300

By: [Signature]

Name: Curtis B. Hamre

Reg. No.: 29,165

DPM/CBH/pjk



S/N 09/964,625

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant:	MIZUUCHI, et al.	Examiner:	M. Battaglia
Serial No.:	09/964,625	Group Art Unit:	2652
Filed:	October 23, 2000	Docket No.:	10873.587US01
Title:	OPTICAL MULTILAYER DISK, MULTIWAVELENGTH LIGHT SOURCE, AND OPTICAL SYSTEM USING THEM		

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By:

*Peggy Kerkhove*

Name: Peggy Kerkhove

**SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT (37 C.F.R. § 1.97(d))**

Mail Stop Amendment  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Dear Sir:

With regard to the above-identified application, the items of information listed on the enclosed Form 1449 are brought to the attention of the Examiner. At least some of the references were recently cited in a European Search Report mailed November 9, 2004.

This statement should be considered because it is submitted after the mailing date of a final action under 37 C.F.R. § 1.113 or after the mailing date of the Notice of Allowance under 37 C.F.R. § 1.311 or after any other action that closes prosecution on the application, but before the payment of the issue fee. Enclosed is a check in the amount of \$180 for the fee set forth in 37 C.F.R. § 1.17(p). This statement is submitted as certified below under 37 C.F.R. §1.97(e)(1) or (2) by the undersigned.

**Certification Under 37 C.F.R. §1.97(e)(1)**

In accordance with 37 C.F.R. §1.97(c) or §1.97(d), the undersigned hereby certifies that each item of information listed on the enclosed Form 1449 was first cited in a communication from a foreign patent office in a counterpart foreign application within three months of filing this statement.

**Certification Under 37 C.F.R. § 1.704(d)**

In accordance with 37 C.F.R. § 1.704(d), the undersigned hereby certifies that each item listed on the enclosed Form 1449 was cited in a communication from a foreign patent office in a counterpart application, and that this communication was not received by any individual designated in 37 C.F.R. § 1.56(c) more than thirty (30) days prior to the filing of this Information Disclosure Statement. Accordingly, no patent term adjustment is due for the filing of this Information Disclosure Statement.

In accordance with 37 C.F.R. § 1.98(a)(2), a copy of each document or other information listed on the enclosed Form 1449 is provided. Enclosed for the Examiner's information is a copy of the European Search Report. Applicants note references EP 0766240, EP 1028421, EP 1172811, US 6030678, EP 0706178 and JP 8-127176 also listed in the search report were previously cited to or by the Examiner.

No representation is made that a reference is "prior art" within the meaning of 35 U.S.C. §§ 102 and 103 and Applicants reserve the right, pursuant to 37 C.F.R. § 1.131 or otherwise, to establish that the reference(s) are not "prior art." Moreover, Applicants do not represent that a reference has been thoroughly reviewed or that any relevance of any portion of a reference is intended.

Consideration of the items listed is respectfully requested. Pursuant to the provisions of M.P.E.P. 609, it is requested that the Examiner return a copy of the attached Form 1449, marked as being considered and initialed by the Examiner, to the undersigned with the next official communication.

Please charge any additional fees or credit any overpayment to Deposit Account No. 13-2725.

Respectfully submitted,

MERCHANT & GOULD P.C.  
P.O. Box 2903  
Minneapolis, MN 55402-0903  
(612)332.5300

Dated: December 9, 2004

By: Curtis B. Hamre  
Curtis B. Hamre  
Reg. No. 29,165

DPM/CBH/pjk



**23552**  
PATENT TRADEMARK OFFICE

\*Substitute Disclosure Statement Form (PTO-1449)